

Practitioner's Docket No. HES 2003-IP-011770U1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARKS OFFICE

In re application of: Samuel J. Lewis et al.

Application No.: 10/698,293

Group No. 1755

Filed: October 31, 3002

Examiner: Marcantoni, Paul D.

For: Dispersant Compositions For Cement Compositions and Related Methods

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

ATTENTION: GROUP DIRECTOR

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT AFTER
MAILING DATE OF FINAL ACTION, NOTICE OF ALLOWANCE
OR ACTION THAT CLOSSES PROSECUTION BUT BEFORE
PAYMENT OF ISSUE FEE (37 C.F.R. § 1.97(d))**

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

*(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)*

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10 *

☒ with sufficient postage as first class mail.

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TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, (703) _____

Sheila Gibbs

Signature

Date: 2-24-05

Sheila Gibbs

(type or print name of person certifying)

* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Transmittal of Information Disclosure Statement after Mailing Date of Final Action or Notice of Allowance But before Payment of Issue Fee [6-5]—page 1 of 3)

NOTE: 37 C.F.R. 1.97(d): An information disclosure statement shall be considered by the Office if filed by the applicant after the period specified in paragraph (c) of this section [i.e., after the mailing date of any final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application], provided that the information disclosure statement is filed on or before payment of the issue fee and is accompanied by:

- (1) The statement specified in paragraph (e) of this section; and
- (2) The fee set forth in § 1.17(p).

NOTE: If the information disclosure statement that contains the items required by 37 C.F.R. § 1.97(d) is filed before, or simultaneously with, the payment of the issue fee, then it will be considered. See Notice of April 20, 1992 (1138 O.G. 37-41, 40) and 37 C.F.R. § 1.97(d).

TIME OF TRANSMITTAL OF ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed **after a final action under § 1.113, or a notice of allowance under § 1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee.**

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63). But see § 103(b) and (c), limited suspension of action in a continued prosecution application (CPA) filed under § 1.53(d) and in a request for continued examination (RCE) under § 1.114.

STATEMENT, PETITION AND FEE

2. In accordance with the requirements of 37 C.F.R. § 1.97(d):
 - A. Accompanying this transmittal is a statement, as specified in 37 C.F.R. § 1.97(e).
 - B. Applicant submits the petition fee set forth in § 1.17(p) (\$180.00).

NOTE: "The petition should be directed to the Group Director of the examining group handling the application. The petition need do nothing more than request consideration of the information being submitted." Notice of April 20, 1992 (1138 O.G. 37-41, 40).

FEE DUE

3. Petition fee due (§ 1.17(p)): \$180.00

METHOD OF PAYMENT OF FEE

4.

- ☐ Attached is a ☐ check ☐ money order in the amount of \$ _____
- ☒ Authorization is hereby made to charge the amount of \$ 180
- ☒ to Deposit Account No. 08-0300
- ☐ to Credit card as shown on the attached credit card information authorization form PTO-2038.

WARNING: Credit card information should **not** be included on this form as it may become public.

- ☒ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

Reg. No. 36,256

Tel. No.: (580) 251-3012

Customer No.:


SIGNATURE OF PRACTITIONER

Craig W. Roddy

(type or print name of practitioner)

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Duncan, OK 73536-0440

(Transmittal of Information Disclosure Statement after Mailing Date of Final Action or Notice of Allowance But before Payment of Issue Fee [6-5]—page 3 of 3)



PATENT
HES 2003-IP-011770U1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Samuel J. Lewis et al.)
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) Art Unit: 1755
)
Serial No.: 10/698,293)
)
Filed: October 31, 2003) Examiner: Marcantoni, Paul D.
)
For: DISPERSANT COMPOSITIONS FOR)
CEMENT COMPOSITIONS AND)
RELATED METHODS)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SIR:

The following documents are known to Applicants or Applicants' attorneys and are submitted for the Examiner to consider in the above-captioned application.

FOREIGN PATENTS

European Patent Application No. EP 0 256 573 A published February 24, 1988.

European Patent Application No. EP 1 153 898 A published November 14, 2001.

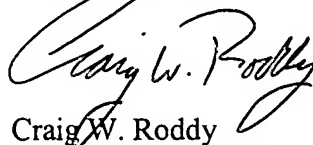
European Patent Application No. EP 1 069 091 A1 published January 17, 2001.

OTHER MATERIAL

Foreign communication from a related counterpart application dated January 28, 2005.

Copies of the aforementioned references and Form PTO-1449 are submitted herewith.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Craig W. Roddy". The signature is fluid and cursive, with the first name "Craig" being more prominent.

Craig W. Roddy
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Halliburton Energy Services
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580-251-3012

Sheet 1 of 1

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|---|--------------------------------------|--------------------------|
| FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | ATTY. DOCKET NO. 2003-IP-011770U1 | SERIAL NO. 10/698,293 |
| | APPLICANT Samuel J. Lewis et al. | |
| | FILING DATE October 31, 2003 | GROUP 1755 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | Document No. | Date | Name | Class | Subclass | Filing Date if Appropriate |
|---------------------|----|--------------|------|------|-------|----------|----------------------------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
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| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | Document No. | Date | Country | Class | Subclass | Translation | |
|--|----|--------------------|----------|---------|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| | AL | EP 0 256 573 A | 02/24/88 | Europe | C04B | 24/12 | X | |
| | AM | EP 1 153 898 A | 11/14/01 | Europe | C04B | 28/02 | X | |
| | AN | EP 1 069 091 A1 | 01/17/01 | Europe | C04B | 28/04 | X | |
| | AO | | | | | | | |
| | AP | | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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| | AR | | Foreign communication from a related counterpart application dated January 28, 2005. |
| | AS | | |
| | AT | | |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.